



Dep & Ref
R000 307

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Newman, K. R.

§

Serial No: 09/992,098

§

Art Unit: 2673

§

Filed: 11/26/0101

§

Examiner:

§

For: Database Systems & Methods

§

Atty Docket No: CTES 011

§

#3 Reg for Refund
3-27-02

Request for Refund Under 37 CFR 1.28(a)

Assistant Commissioner
For Patents
Washington, D.C. 20231

OFFICE OF FINANCE
REFUND DIVISION
MAR 12 AM 9:30
US MAIL & TRADEMARK
OFFICE

Dear Sir:

This is a Request for a refund of \$723 (half the filing fee of \$1446 sent with this application on 11/19/01) under 37 CFR 1,28 (a) based on later establishment of small entity status. Submitted herewith under 37 CFR 1.27 (c)(1) is a written assertion of small entity status for the applicant and assignee of the above-identified application. This Request is made within three months of the payment of the filing fee.

Respectfully submitted,

Date: 2/14/02
PMB 347
16690 Champion Forest Drive
Spring, TX. 77379--7023
Phone: 281 893 5244

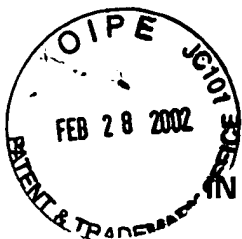
Guy McClung
Reg. No. 29,008

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with sufficient postage as first class U.S. mail with the United States Postal Service in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on 14 FEB 02

Date: 2/14/02

Guy McClung, Reg. No. 29,008



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§ Examiner:

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§ Atty Docket No: CTES 011

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Assertion of Small Entity Status Under 37 CFR 1.27(c)(1)

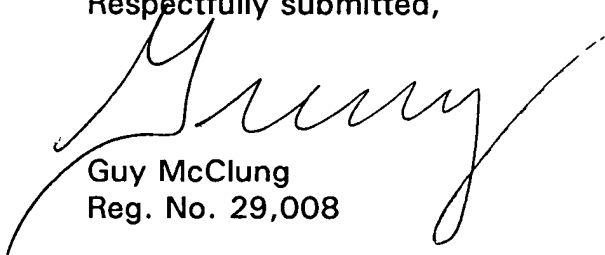
Assistant Commissioner
For Patents
Washington, D.C. 20231

Dear Sir:

This is an Assertion Of Small Entity Status Under 37 CFR 1.27(c)(1). The applicant in the above-identified patent application, Kenneth R. Newman, and the assignee of record of this application, CTES, L.C. of Conroe TX are both small entities under 37 CFR 1.27 and are both entitled to small entity status under 37 CFR 1.27.

Respectfully submitted,

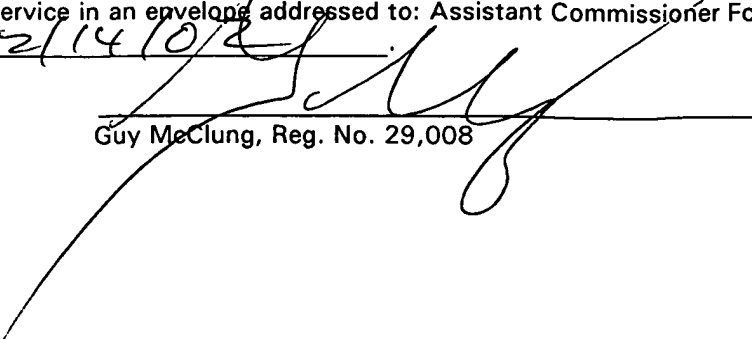
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Date: 2/14/02


Guy McClung, Reg. No. 29,008